

Safaricom Telecommunications Ethiopia Supplier Policy Sanctions and Trade Controls

Scope

All Safaricom Telecommunications Ethiopia PLC procurement agreements with Suppliers.

1 Introduction

Safaricom Telecommunications Ethiopia PLC is committed to complying with all nation laws and regulations applicable to the exporting/importing of Suppliers' products and technologies. Safaricom Telecommunications Ethiopia PLC maintains an internal compliance program that is dependent upon the continued cooperation of its Suppliers. This collaborative effort will ensure that compliance of the export/import laws and regulations are properly applied by Safaricom Telecommunications Ethiopia PLC.

In this Supplier Policy, references to:

"Trade Control Laws" are to all import/export control laws and regulations in Relevant States;

"Relevant States" include the countries of the European Union, the United States of America and any other countries with jurisdiction over Safaricom Telecommunications Ethiopia PLC or the Supplier in connection with the procurement activity;

"Restricted Party List" are those lists published by the Relevant States of individuals or companies who have breached or are of concern in relation to Sanctions;

"Restricted List States" are (at time of publication) Belarus, Iran, Syria, North Korea, Cuba, Crimea and Donetsk and Luhansk regions of Ukraine, Russian Federation and those such countries as from time to time appear on restricted lists published by the Relevant States;

"Sanctions" are to all economic, trade and financial sanctions laws, regulations, embargoes or restrictive measures of any Relevant States;

"Supplier" shall, where relevant, also include all officers and employees of Supplier.

2 Context

The context of this Supplier Policy is that Safaricom Telecommunications Ethiopia PLC may wish to import, export, re-export, transfer, re-transfer, use or enjoy the subject matter of the procurement activities in any part of the world. In the event that the relevant Safaricom Telecommunications Ethiopia PLC procurement agreement restricts Safaricom Telecommunications Ethiopia PLC from importing, exporting, re-exporting, transferring, re-transferring, using or enjoying the subject matter of the procurement activities in certain ways or parts of the world then Supplier shall be relieved from its obligations in paragraph 3 below in respect of such restrictions.

3 Compliance

Supplier shall, in connection with Safaricom Telecommunications Ethiopia PLC's procurement activities with Supplier:

- i) Not do anything which may cause Safaricom Telecommunications Ethiopia PLC to breach Trade Control Laws or Sanctions;

- ii) Comply with all Trade Control Laws and Sanctions, including clearly indicating on all delivery notices the relevant Trade control classification and import/export license numbers;
- iii) Not carry out any activities from a Restricted List State or sub-contract to any entity from a Restricted List State or on a Restricted Party List;
- iv) Keep Safaricom Telecommunications Ethiopia PLC apprised at all times of such information as Safaricom Telecommunications Ethiopia PLC may need in order to comply with Trade Control Laws and Sanctions, including details and copies of applicable restrictions and import/export classification numbers (such as under the U.S. licensing jurisdiction of the U.S. State Department ITAR), import/export licenses or equivalent documentation, exemptions or exception and conditions relating to import/export, transfer or use, and provide Safaricom Telecommunications Ethiopia PLC with such assistance as Safaricom Telecommunications Ethiopia PLC may reasonably request in this respect; and
- v) Keep Safaricom Telecommunications Ethiopia PLC apprised at all times (as soon as reasonably practicable in the given circumstances) of all relevant information on: (a) any changes in circumstances relevant to compliance with Trade Control Laws and Sanctions; (b) actual or potential breaches of Supplier's obligations in relation to Trade Control and Sanctions; (c) loss, suspension or invalidation of any relevant license, authorization, approval or Trade control privileges, including by being placed on a Restricted Party List; or (d) it becoming aware that any relevant authority has initiated or will initiate any investigation or proceedings against Supplier relating to an actual or potential breach of any Trade Control Laws or Sanctions.